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11-28-01

11/26/01

UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for nonprovisional applications under 37 CFR § 1.53(b)</small>	Attorney Docket No.	500966.01	November 26, 2001
	First Inventor or Application Identifier	Trung T. Doan	
	Title	MACHINE STATE STORAGE APPARATUS AND METHOD	
	Express Mail Label No.	EL 872039802 US	

JC955 U.S. PTO
09/994516
11/26/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Box Patent Application Commissioner of Patents Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Sheet (+ copy) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant(s) Claim(s) Small Entity Status	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages] 23 (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive Title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	a. <input type="checkbox"/> Computer-Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] 4	b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paper
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages] 2 <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	c. <input type="checkbox"/> Statement verifying identity of above copies
6. <input checked="" type="checkbox"/> Application Data Sheet (37 C.F.R. 1.76)	

ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)	
10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
11. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
12. <input type="checkbox"/> English Translation Document (if applicable)	
13. <input type="checkbox"/> Preliminary Amendment Total Pages _____	
14. <input checked="" type="checkbox"/> Return Receipt Postcard	
15. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
17. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail</u> <u>Check</u>	

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-In-Part (CIP)	of prior Application No.: _____	Filed _____
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Prior application information: Examiner _____ Group / Art Unit _____

☐ **Incorporation By Reference** (useable if box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

CORRESPONDENCE ADDRESS	
Kimton N. Eng, Esq. Dorsey & Whitney LLP 1420 Fifth Avenue, Suite 3400 Seattle, Washington 98101-4010 (206) 903-8800 phone (206) 903-8820 fax	Customer No. 27,076
TYPED or PRINTED NAME <u>Kimton N. Eng</u>	REGISTRATION NO. <u>43,605</u>
SIGNATURE <u>Kimton N. Eng</u>	Date <u>November 26, 2001</u>

FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Express Mail No.	EL 872039802 US
Filing Date	Concurrently Herewith
First Named Inventor	Trung T. Doan
Group Art Unit	Not Yet Assigned
Examiner	Not Yet Assigned
Atty. Docket Number	500966.01

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
Subtotal (1)				\$ 740

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	50	- 20 = 30	x \$ 18 =	\$ 540
Ind.	8	- 3 = 5	x \$ 84 =	\$ 420
Multiple Dependent Claims				x \$ = \$
Subtotal (2)				\$ 960

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	239	130	Non-English specification	\$
147	2,520	247	2,520	For Filing a Request for Reexamination	\$
195	300	295	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$
116	400	216	200	Extension for reply within 2 nd month	\$
117	920	217	460	Extension for reply within 3 rd month	\$
118	1,440	218	720	Extension for reply within 4 th month	\$
128	1,960	228	980	Extension for reply within 5 th month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	221	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,280	241	640	Petition to revive - unintentional	\$
142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)	\$
143	460	243	230	Design issue fee (+ advance copies)	\$
122	130	222	130	Petitions to the Commissioner	\$
123	50	223	50	Petitions related to provisional applications	\$
126	180	226	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$ 40
179	740	279	370	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$
Subtotal (3)					\$ 40

Total Amount of Payment: \$1740

Submitted by:

Name: Kimton N. Eng

Reg. No.: 43,605

Telephone: (206) 903-8800

Signature: 

Date: November 26, 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Trung T. Doan and
Dean A. Klein

Attorney Docket No.: 500966.01

Filed : Concurrently Herewith

Title : MACHINE STATE STORAGE APPARATUS AND METHOD

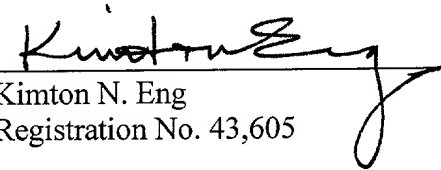
GENERAL AUTHORIZATION

Box Patent Application
Commissioner of Patents
Washington, D.C. 20231

Sir:

With respect to the above-identified application, the Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required and to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time and to charge any fees which may be required during the course of prosecution, or credit any overpayment, to Deposit Account No. 50-1266.

Date November 20, 2001


Kimton N. Eng
Registration No. 43,605

KNE:asw

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PATENT

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Title : MACHINE STATE STORAGE APPARATUS AND METHOD

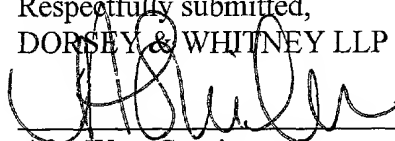
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

BOX PATENT APPLICATION
Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, Virginia 22202

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 872039802 US, on November 26, 2001, addressed to Box Patent Application, Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Respectfully submitted,
DORSEY & WHITNEY LLP


/ for West Courier

KNE:asw

Enclosures:

Postcard
Check
PTO/SB/05 Utility Patent Application Transmittal
Application Data Sheet
Fee Transmittal Sheet (+ copy)
General Authorization
Specification, Claims, Abstract (23 pages)
4 Sheets of Drawings (Figures 1A-4)
Declaration
Election and Power of Attorney
Form PTO-1595
Assignment